

- **A. MAURIEL**, Techniques séparatives à membranes, Considérations théoriques, J 2 780
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## References

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## Outline

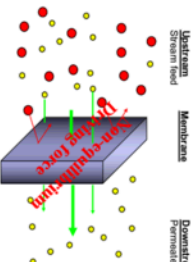
1. Introduction
2. Overview of membrane processes
3. Modules and process design
4. Main phenomena
5. Membrane transport theory and modeling

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- ⊕ Can be carried out under mild conditions (ambient temperature) => transmembrane compounds, ...
- ⊖ No additives are required
- ⊖ No phase change => low energy consumption
- ⊕ Can be carried out continuously
- ⊕ Easy up-scaling
- ⊖ Fouling
- ⊖ Partial selectivity
- ⊖ Limited lifespan (mechanical stability is reduced, damaging due to the periodic chemical washing)
- ⊖ Relative high flow rates are required => pumping cost

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## Separation with a membrane



## Classification of separation processes

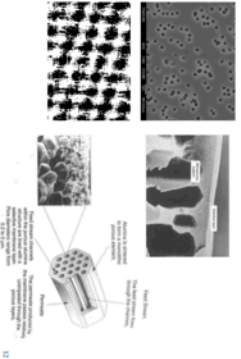
Type	Feed	Principle	Unit operation
Mass transfer physical	Heterogeneous phases	Separation of solute, liquids or gases by mechanical means	Distillation, extraction, crystallization, ... Mixed beds (ion exchange, adsorption, ...)
Mass transfer	Homogeneous phases	Mass transfer between 2 phases in direct contact	Extraction, azeotropic distillation, ... Material agent (membrane, ...)
Mass transfer	Homogeneous phases	Mass transfer between 2 phases separated by a barrier	Membrane processes (permeation, ...) Others (electrodialysis, ...)

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## Membranes separation processes

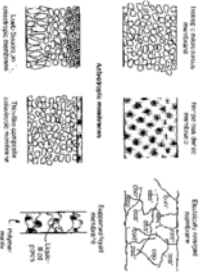
Pierrette GUICHARDON

## 1. Introduction



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## Types of membranes



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## Definition of a membrane

A **Permeative Membrane**: a selective barrier between 2 phases allowing specific mass transfers.

The membrane has the ability to transport one component more readily than other

The selective transfer through the membrane may be due to differences in physical and/or chemical properties between the membrane and the permeating components (species size, affinity,...)

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## 1.1 The membranes

## 2.1 Pressure driven membrane processes

## Vocabulary used

- **Feed, Retentate or rejectate and permeate**
- **Pressure drop**  
When a liquid flows through a pipe a pressure drop is observed across the flow geometry due to friction with the wall
- **Transmembrane pressure**
- **Permeate flux,  $J$  ( $m^3 \cdot s^{-1} \cdot m^2$  or  $m \cdot s^{-1}$ )**  
Permeate flow rate per membrane area
- **Mean permeate velocity**  
 $J = \frac{Q_p}{A_m} = \frac{Q_p}{Q_p + Q_r} \cdot \frac{Q_p + Q_r}{A_m}$
- **Retention or rejection coefficient**  
 $R = \frac{C_p - C_r}{C_p} = 1 - \frac{C_r}{C_p}$
- **Conversion rate**  
 $Y = \frac{Q_p}{Q_f}$
- **Selectivity factor**  
 $\alpha_{AB} = \frac{C_{A,permeate}/C_{B,permeate}}{C_{A,feed}/C_{B,feed}}$

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## 2. Overview of membrane processes

## Membranes materials

- **Organic membranes (> 80%)**
  - Polymers or macromolecules: from cellulose, polyamides, polyimides, polyethers, polyketones and fluoro polymers, derived acrylic compounds, others (polycarbonates,...)
  - Easy Carrying out
  - Available in a large pore size range
  - Low cost
- **Chemical, mechanical and thermal stability**

## Inorganic membranes

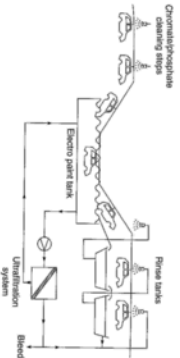
- Ceramic, carbon, metal
- Chemical, mechanical and thermal stability
- Only available in plan and tubular geometries
- Small pore size membrane not available
- Irreversible
- Cost

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# Applications of ultrafiltration: electrocoat paint



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## Ultrafiltration (UF)

- Suitable for retaining macromolecules and colloids
- Polymeric and ceramic membranes available

Asymmetric macro or meso-porous membranes ( $1 \text{ nm} < \text{pore size} < 100 \text{ nm}$ )

Separation based on size and shape of the solutes relative to the pore size (sieving mechanism)

Merge gene selectively is characterized with the « Cut-off »: solute molecular weight the retention of which is 90%

Applications:

- Dairy (milk, whey, cheese making)
- Food (protein starch and protein)
- Metallurgy (oil-water emulsion, electroplating recovery)
- Pharmaceutical (enzymes, antibiotics, pyrogens)
- Water treatment



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## Microfiltration (MF)

- Suitable for retaining suspensions and emulsions
- Separation of particles

Symmetric and asymmetric porous membranes ( $100 \text{ nm} < \text{pore size} < 10 \mu\text{m}$ )

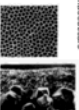
Polymeric and ceramic membranes materials

Applied pressure low ( $< 2 \text{ bar}$ )

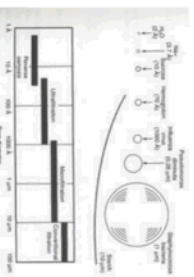
Separation based on particle size (sieving mechanism)

Applications:

- Cold clarification of beverages and pharmaceuticals
- Cell harvesting
- Clarification of fruit juice, wine and beer
- Ultra-pure water in the semiconductor industry
- Waste-water treatment



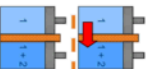
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## Osmotic pressure

$$\mu_1^{\text{pure}} = \mu_1^0 > \mu_1^{\text{solution}} = \mu_1^0 + RT \ln(\gamma_1 \tilde{x}_1) + \gamma_1^E \tilde{x}_1^2$$

### Osmosis



$$\mu_1^{\text{pure}} = \mu_1^0 > \mu_1^{\text{solution}} = \mu_1^0 + RT \ln(\gamma_1 \tilde{x}_1) + \gamma_1^E \tilde{x}_1^2$$

$$\mu_1^0 = \mu_1^0 + RT \ln(\gamma_1 \tilde{x}_1) + \gamma_1^E \tilde{x}_1^2 \Rightarrow \Pi = -\frac{RT \ln(\gamma_1 \tilde{x}_1)}{\tilde{V}_1}$$

$$\ln(\tilde{x}_1) = \ln(1 - \tilde{x}_2) = 0 - \tilde{x}_2 - \frac{\tilde{x}_2^2}{2} - \dots - \tilde{x}_2 = \Pi = + \frac{RT \tilde{x}_2}{\tilde{V}_1}$$

$$\Pi = \frac{RT \tilde{x}_2}{\tilde{V}_1} \approx \frac{RT}{\tilde{V}_1} \tilde{x}_2 \Rightarrow \Pi = \frac{RT}{\tilde{V}_1} \tilde{x}_2 = \frac{RT}{\tilde{V}_1} \tilde{x}_2 = \frac{RT}{\tilde{V}_1} \tilde{x}_2$$

$$\Pi = \frac{RT}{\tilde{V}_1} \tilde{x}_2 \quad \text{The Van't Hoff's law}$$

$$(\text{ideal case, dilute solutions})$$

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## Reverse osmosis

- Suitable for retaining salts

Asymmetric or composite dense membrane (pore size  $< 2 \text{ nm}$ )

Polymeric membranes: cellulose triacetate, aromatic polyamides, polyamide and poly (ether urea)

Applied pressure high (30-80 bar)

The solvent (mainly water) goes through the membrane whilst the salts are retained

The separation principle is based on solution-diffusion

Applications:

- Specific desalination (salt concentration of  $3.3-4.3 \%$ )
- Brackish water desalination (2000-10000  $\text{mg/L}$ )
- production of ultrapure water
- waste water treatment (recovery of nickel)
- Organic solvent separation (separation the indifferent organosolvents)



Desalination Membranes (DOW FILMTEC® - DOW)

Coulson et al. (1989)

## Nanofiltration (NF)

- Microporous composite membrane (pore size  $< 2 \text{ nm}$ )

Membrane material: polyamide

Applied pressure relatively high (10-25 bar)

A complex separation principle:

Solution-diffusion

Neutral Membranes :  $\text{Na}_2\text{SO}_4 > \text{CaCl}_2 > \text{NaCl}$

Acidic Membranes :  $\text{CaCl}_2 > \text{NaCl} > \text{Na}_2\text{SO}_4$

Exclusion of Divalent ions and some non-ionic organic compounds

High rejection of divalent ions and some non-ionic organic compounds (40-50 g/mol)

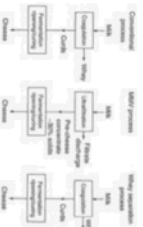
Low rejection of monovalent ions and some non-ionic organic compounds (40-50 g/mol)

Applications:

- Desalination of brackish water
- Removal of micro-pollutants
- Water softening
- Waste water treatment
- Retention of dyes (textile industry)

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## Applications of ultrafiltration: cheese making



Simplified flow schematic showing the traditional cheese production method and two new methods using ultrafiltration to increase the recycle of useful product

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## 2.2 Concentration driven membrane processes



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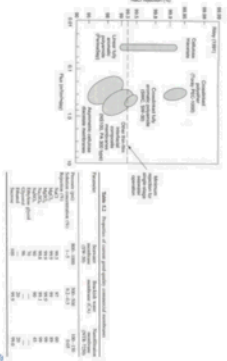
### Applications of reverse osmosis: seawater desalination



Desalitzadora de El Prat (Barcelona):  
Agbar - Dageumont  
200 000 m<sup>3</sup>/day  
4,5 millions of habitants  
230 millions of euros

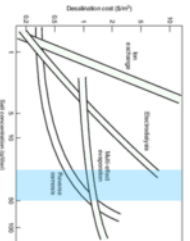
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### Applications of reverse osmosis: seawater desalination



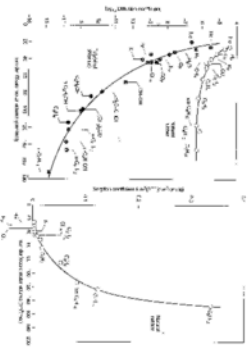
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### Applications of reverse osmosis: seawater desalination



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## Gas separation



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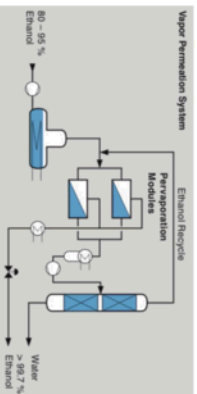
### Gas separation

- Fractionation of a gas mixture based on partial transport under pressure
  - Transport mechanisms:
    - Knudsen diffusion (porous membrane,  $\lambda_p < 100$  nm): mean free path of the gas molecules greater than the pore diameter
    - Molecular Sieve ( $\lambda_p \approx 5-10$  Å)
    - Solution - diffusion (dense polymeric membranes): a balance must be found between permeability and selectivity
- $$J_i = \frac{D_i K_i^G(p_1)}{l} \frac{p_1(p_2)}{p_2}$$
- $$\alpha_{ij} = \frac{q_i}{q_j} = \frac{D_i K_i^G}{D_j K_j^G}$$

- Applications
  - Enrichment of uranium hexafluoride (separation factor 1.0054)
  - Air separation to obtain oxygen-enriched air and nitrogen-enriched air
  - Dehydration of natural gas, air conditioning and drying of compressed air
  - SO<sub>2</sub>, CO<sub>2</sub> and NOx removal from smoke and flue gases

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### Pervaporation Dehydration of (bio)ethanol



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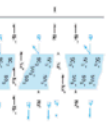
### Pervaporation

- A liquid mixture is in contact with the membrane on the feed side at atmospheric pressure and a low vapour pressure existing on the permeate side thanks to a vacuum pump
- Non-porous Composite membranes with an elastomer or glassy polymeric top layer
- Process involving a sequence of 3 steps:
  - Selective sorption into the membrane on the feed side
  - Selective diffusion through the membrane
  - Desorption into a vapour phase on the permeate side
- Applications:
  - Dehydration of organic solvent
  - Removal of volatile organic compounds from water (alcohol, ammonia, chlorinated hydrocarbons)
  - Separation of isomers (o-xylene, m-xylene, p-xylene...)

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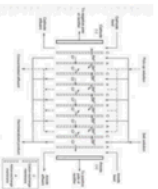
## Electrodialysis

- Use of an electrically charged membranes to remove ions from aqueous solution when a direct current is applied



### Cation-exchange Membranes

- Several hundreds of cell pairs are assembled in a stack. In this way, the applied driving force is used very effectively



### 3.1 Modules design

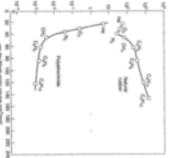
### 2.3 Electrically driven membrane processes

- Suitable for separating low molecular weight components from those of high molecular weight
- Homogeneous membranes (10  $\mu\text{m}$  < thickness < 100  $\mu\text{m}$ )
- Hydrophobic (regenerated cellulose) and hydrophobic (cellulose acetate, poly vinyl alcohol...) materials

## Dialysis

- Applications:
  - Hemodialysis (removal of toxic substances from blood)
  - Alcohol reduction in beer
  - Denaturation of enzymes and coenzymes
  - Adult recovery in pulp and paper industry

## Gas separation

[illegible]

### 3. Modules and process design

## State of the art

Category	Process	Sales
Developed industrial membrane separation technologies	Microfiltration Ultrafiltration Reverse osmosis Electrodialysis	Well-established unit operation. No major breakthroughs seem imminent.
Developing industrial membrane separation technologies	Gas separation Pervaporation	A number of plants have been installed. Market size and number of applications served and expanding.
To be developed industrial membrane separation technologies	Carrier facilitated transport Membrane contactors	Major problem remains to be solved before industrial system will be installed on a large scale.

## Electrodialysis

- Cellulose-oxalysilic and arion-exchange membranes
- Nonporous membranes (100  $\mu\text{m}$   $\times$  thickness  $\times$  500  $\mu\text{m}$ )
- Membrane materials: crosslinked copolymers based on 4-vinylbenzene with polyethylene glycol and pyridine
- Applications
  - Desalination of water
  - Desalination in food and pharmaceutical industry (acids removal in fruits juice, desalination of sweet juice...)
  - Separation of amino-acids
  - Production of salt

# Hollow Fiber Module



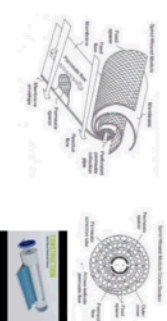
- Two types of modules: feed solution can either reside the fiber (x inside-out) or on the outside (x outside-in)
- The packing density (fiber-diameter-dependent):  $500 - 30\,000 \text{ m}^2 \cdot \text{m}^{-3}$

## Tubular Module



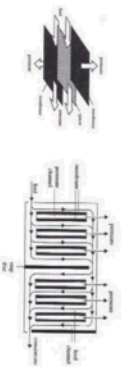
- Not self-supporting membranes: they are placed inside a porous stainless steel, ceramic or plastic tube with the diameter of the tube being more than 10 mm
- The packing density:  $10 - 400 \text{ m}^2 \cdot \text{m}^{-3}$

## Spiral-wound Module



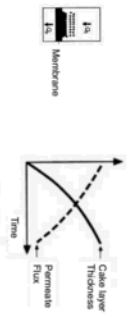
- The next logical step from a flat membrane.
- A plate-frame system wrapped around a central collection pipe in a manner similar to a sandwich
- The packing density:  $300 - 1000 \text{ m}^2 \cdot \text{m}^{-3}$

## Plate-and-frame Module



- Configuration closest to the flat membranes used in laboratory
- Sets of 2 membranes are placed in a sandwich-like fashion with their feed sides facing each other
- The packing density:  $100 - 400 \text{ m}^2 \cdot \text{m}^{-3}$

# Dead-end operation



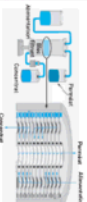
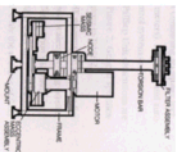
- The feed is forced through the membrane
- The concentration of rejected components in the feed increases
- Flux decline because of cake growth
- Used very frequently in microfiltration

## 3.2 System design

Application	Module types
Reverse osmosis desalination	Spiral-wound modules. Only one hollow fiber module remains to be tested and tested.
Reverse osmosis desalination and variable water	Spiral-wound modules used almost exclusively, the fiber too long to be tested to testing and testing.
Ultrafiltration	Tube, capillary (plastic to supply feeding fluids) and spiral-wound modules. Hollow fiber for high water flux, with low flow, low ultrafiltration membranes in which concentration polarization is easily controlled. Spiral-wound when flows are higher. Feed gases more concentrated and concentrated polarization is a problem. Product gas separation, vapor separation.
Gas separation	Most permeation systems are small, plate and frame systems are used.
Permeation	Spiral-wound and capillary modules being introduced.

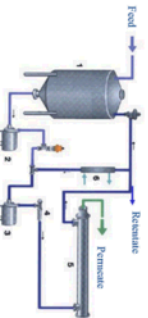
Module	Plate-and-frame	Spiral-wound	Hollow fiber
Packing density	Low	—	Very high
Investment	High \$0.200	\$0.200	\$0.200
Feeding viscosity	Low	—	Very high
Cleaning	Good	—	Poor
Membrane replacement	Yes	No	No

## Vibrating Modules



- Vibration of the membrane creates interior agitation directly at the membrane surface.
- Suitable for extremely concentrated viscous solutions

## Continuous system



A single-pass system: the feed solution passes only once through the module  
A recirculation system: the feed is pressurized by a pump and allowed to pass several times through the module. Suitable in cases of severe fouling and concentration polarization

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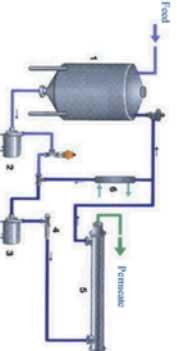
## Some examples

- Feed solution enters inside the fiber
- High feed pressure
- Use of a booster pump



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## Batch System



Batch with a feed

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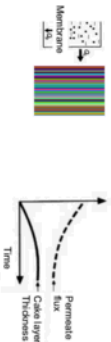
## Batch System



- A batch system can be used for small-scale applications
- Volume of the feed decreases with time
- Recirculation system: loop

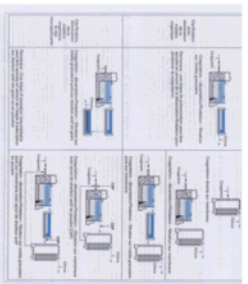
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## Cross-flow operation



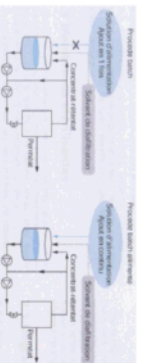
- The feed flows parallel to the membrane surface
- Reduced concentration polarization
- Lower fouling tendency
- A cross-flow operation is preferred for industrial applications

## Hybrid system



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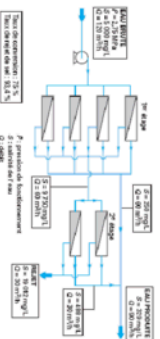
## Diafiltration system



- Diafiltration can be considered as a continuous stirred tank reactor with a membrane placed in the outlet stream
- The volume in the feed remains constant because solvent is added at a rate equivalent to the permeation rate

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## Mono or multi-stage system



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# Concentration Polarisation



Factor of polarisation

$$\gamma = \frac{c_2}{c_1}$$

- When a driving force acts on the feed solution, the solute is partly retained by the membrane whereas the solvent permeates.

- Retained solute accumulates at the membrane surface where their concentration will gradually increase. The layer of solute immediately adjacent to the membrane surface becomes depleted the permeating solute and enriched in the solute

- Consequences

- Flux will be lower

- Retention can be lower: low molecular weight solutes such as salts

- Retention can be higher: in the case of mixtures of macromolecules

- augmentation de la pression osmotique

$$\pi_{feed} = \pi - \frac{c_2}{c_1} \pi$$

$$\pi_{mem} = \pi - \frac{c_2}{c_1} \pi$$

- Osmotic pressure will be higher

## Osmotic pressure

$$\mu_{i,feed} = \mu_i^0 + RT \ln(\gamma_i \tilde{c}_i) + \bar{V}_i(p - p_{atm})$$

Osmosis

$$\mu_{i,feed} = \mu_{i,mem}$$

$$\mu_i^0 = \mu_i^0 + RT \ln(\gamma_i \tilde{c}_i) + \bar{V}_i \pi \Rightarrow \pi = - \frac{RT \ln(\gamma_i \tilde{c}_i)}{\bar{V}_i}$$

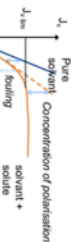
$$\ln(\gamma_i) = \ln(1 - x_2) = 0 - x_2 - \frac{x_2^2}{2} \dots \approx -x_2 \Rightarrow \pi = + \frac{RTx_2}{\bar{V}_1}$$

$$\tilde{c}_2 = \frac{n_2}{V} \approx \frac{n_2}{V} \Rightarrow \pi = \frac{RTn_2}{V} = \frac{RTn_2}{n_1 \bar{V}_1} = \frac{RTc_2}{V}$$

$$\pi = iRTc_2 \quad \text{The Van't Hoff's law}$$

(ideal case, dilute solutions)

# Permeate flux



In the case of pure solvent

In the case of a mixture

Influence of hydrodynamic resistance (a membrane constant)  $R_m$

Zone 1 : linear variation

Zone 2 : limiting flux

Influence of  $\Delta P$  = influence of hydrodynamic resistance  $R_m$

No influence of  $\Delta P$  = influence of mass layer resistance  $R_p$

## 4.2 Influence of operating conditions.

Main trends

## Fouling

- A very complex phenomenon
- Difficult to describe theoretically
- The (irreversible) deposition of retained particles, colloids, macromolecules, ...; obstruction des pores
  - Absorption
  - Pore blocking
  - precipitation
  - Cake formation
- Flux decline
  - Selectivity change
- Fouling concerns mainly porous membranes, pressure driven systems
- Methods to reduce fouling: pretreatment of the feed solution, membrane properties, module and process conditions, cleaning

## Concentration Polarisation

Membrane operation	Influence of concentration polarisation
Reverse osmosis	moderate
Ultrafiltration	strong
Microfiltration	strong
Gas separation	very low
permeation	low
Electrodialysis	strong
Dialysis	low

- Influence of flow conditions: velocity, viscosity, density, solute diffusion coefficient
- Use of turbulence promoters:
  - An increased mass transfer coefficient
  - Spacer materials to separate both membranes in the feed
  - Added specific promoters

## 4.1 Main phenomena

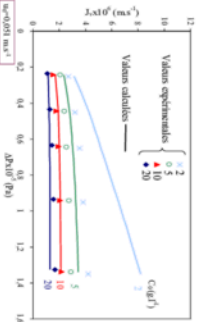
## 4. Main phenomena. Dimensioning elements



# Influence of concentration

Hollow Fiber Module– Dextran T 5000 [Yeh 1996]

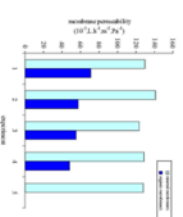
$$Q_d = 4 \times 10^{-4} \text{ m}^3 \cdot \text{mol}^{-1} \cdot \text{Pa}^{-1}$$



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# Membrane cleaning

Chemical cleaning  
Backwash cleaning



The permeability

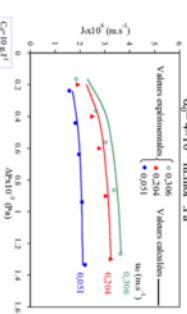
$$J_v = L_p \Delta p$$

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# Influence of axial velocity $u_0$

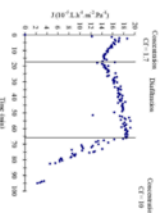
Hollow Fiber Module– Dextran T5000 [Yeh 1996]

$$Q_d = 4 \times 10^{-4} \text{ m}^3 \cdot \text{mol}^{-1} \cdot \text{Pa}^{-1}$$



60

# Influence of process



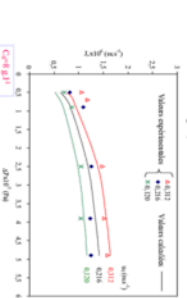
Lipid/detergent mixture, Vibrating membranes,

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# Influence of axial velocity $u_0$

Tubular Membrane (1,2 m) – Dextran T5000

$$Q_d = 2,5 \times 10^{-4} \text{ m}^3 \cdot \text{mol}^{-1} \cdot \text{Pa}^{-1}$$

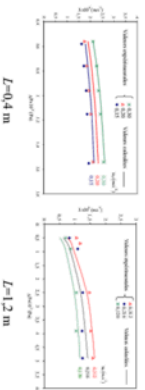


60

# Influence of membrane length

Tubular Membrane – Dextran T5000

$$C_d = 8 g \cdot l^{-1}$$

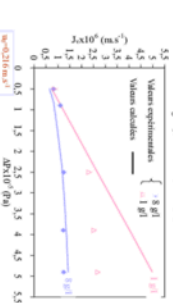


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# Influence of pressure

Tubular Membrane (1,2 m) – Dextran T5000

$$Q_d = 2,5 \times 10^{-4} \text{ m}^3 \cdot \text{mol}^{-1} \cdot \text{Pa}^{-1}$$

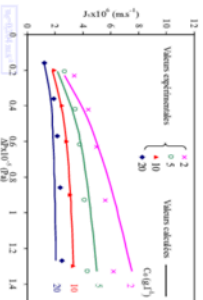


60

# Influence of concentration

Hollow fiber module– Polyvinylpyrrolidone PVP-360 [Yeh 1993]

$$Q_d = 2,5 \times 10^{-4} \text{ m}^3 \cdot \text{mol}^{-1} \cdot \text{Pa}^{-1}$$



60

# Experiments on a pilot scale

- The permeable flux as a function of:  
 transmembrane pressure  
 feed velocity  
 time  
 temperature

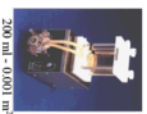
- Determination of the suitable operating conditions

- Design of the process  
 Batch system  
 Continuous system  
 Mono or multi-stage system

## Preliminary step

- Definition of aims

- Analysis of the feed to be treated  
 sizes of components  
 Concentration of components  
 Chemical behavior  
 Physical and chemical characteristics
- First experiments on a lab scale  
 choice of the membrane  
 (material, cut-off)



## 4.3 Dimensioning elements

2000 ml - 0.0001 m<sup>2</sup>

2 m<sup>3</sup>/h - 50 m<sup>2</sup>

Centrifile  
Membrane

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## Membrane cleaning

The permeable flux

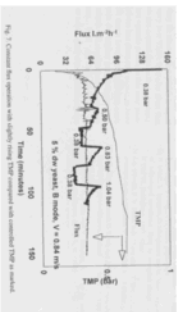


Fig. 7. Control the operation with slightly rising TMAP compared with controlled TMAP as needed.

# The solution-diffusion model

$$J_1 = -L_1 \frac{d\mu_1}{dx}$$

$$d\mu_1 = RT \ln(\gamma_1 \tilde{x}_1) + \tilde{V}_1 \bar{P} dp \quad (\text{influence of composition and pressure})$$

$$\mu_1 = \mu_1^* + RT \ln(\gamma_1 \tilde{x}_1) + \tilde{V}_1 (\bar{P} - P_{ref}) \quad (\text{incompressible media: liquid phase, membrane material at the interface})$$

$$\mu_1 = \mu_1^* + RT \ln(\gamma_1 \tilde{x}_1) + RT \ln \frac{P_1}{P_{ref}} \quad (\text{ideal gas})$$

Assumptions:

- the fluids on either side of the membrane are in equilibrium with the membrane material at the interface
- the pressure throughout the membrane is constant at the highest value

$$J_1 = -L_1 \frac{d\mu_1}{dx} = -\frac{L_1 RT}{\tilde{x}_1} \frac{d\tilde{x}_1}{dx}$$

$$c_1 = M \bar{P} \tilde{x}_1 \Rightarrow J_1 = -\frac{L_1 RT}{c_1} \frac{dc_1}{dx} \Rightarrow J_1 = \frac{D_1 (c_{1,s(a)} - c_{1,s(b)})}{l}$$

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## 5.1 Membrane transport theory

Centrifile  
Membrane

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## 5. Membrane transport theory and modeling

Centrifile  
Membrane

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## Membrane area

- Calculation of membrane area A

$$A = \frac{V}{t \cdot J}$$

Batch

$$A = \frac{Q_E C_E - Q_S C_S}{J C_p}$$

Continuous

- Scaling-up  
 the liquid path is kept constant  
 membrane area is proportional to the feed volume or to the feed flow rate
- the axial velocity is kept constant  
 the transmembrane pressure is kept constant  
 the treatment duration is kept constant